RETIREMENT PLANS

TPA Solutions

Mutual of Omaha recognizes no two businesses are alike. That's why we offer a flexible TPA retirement plan platform to meet your diverse client needs. Our retirement product components integrate seamlessly with your business to give your clients access to our diversified investment options, personalized customer service and a three-tiered approach to fiduciary support.

Unlike other carriers, you dictate how much – or how little – we work with you, not the other way around. We set up services and processes on a plan level basis according to your specifications to create efficiency and allow you to serve your client the way that makes the most sense to you and your staff.

PRODUCT OVERVIEW

World-Class Investment Lineup

- More than 70 investment options from over 45 different asset managers and classes
- Risk-based and time-based asset allocation portfolios
- Individually managed account options
- Qualified Default Investment Alternative (QDIA) options, including Stadion
- Self-directed brokerage account
- Non-proprietary funds

Three Levels of Fiduciary Support

- *Product-level* oversight from Mutual of Omaha's Investment Manager Oversight Committee and the consulting services of Callan Associates, Inc.
- *Plan-level* guidance from the individual appointment of investment managers and the Mesirow Financial fiduciary services program, which appoints Mesirow as a limited scope 3(21) co-fiduciary to the plan or a full-scope 3(38) Investment Manager service
- *Participant-level* confidence from professionally managed account options, including Stadion and Morningstar® Retirement Manager℠

TPA SERVICES

- Flexible, state-of-the-art recordkeeping
- Direct data feed for Relius, Datair and ASC systems
- Dedicated support teams, including a new business team, Relationship Manager and Service Processing Team
- Flexible TPA website login setup for single plans or entire block
- Wide array of on-demand reports and regularly scheduled customized reporting
- Strong sales and marketing resources

Additional services at no cost to you or the plan:

- Quarterly statements mailed to participant homes
- 24 hour participant account via web or VRU
- Advisor web view
- 1099 reporting
- Customized enrollment books and materials
- Enrollment meetings/assistance
- Savings projection planners

OUR RECORDKEEPING, YOUR WAY

We understand that the best approach is to have you drive the process. We set up services and processes on a plan level basis, according to your specifications. You determine your level of involvement in:

- Loan reporting and processing
- Contribution and distribution processing with flexible transaction fees
- Tracking of plan provisions, vesting and eligibility
- Required notices, plan sponsor communications and participant inquiries

Also, we understand that you take considerable steps to develop and maintain strong client relationships. To recognize the role you play on our team, we created an awards program to help you offset some of your operational expenses.

For more information about this program, contact our TPA team at (402) 390-1390 or email RPD.TPA@mutualofomaha.com.

Mutual of Omaha is committed to helping you foster deep relationships with plan sponsors and advisors through a sound retirement program.

GET RETIREMENT RIGHT®

United of Omaha Life Insurance Company
Omaha, NE 68175
Companion Life Insurance Company
Hauppauge, NY 11788
(877) 401-SALE (7253)

Mutual of Omaha

Mutual of Omaha's WILD KINGDOM

USA SWIMMING OFFICIAL SPONSOR