



## RETIREMENT SOLUTIONS

## TPA Product Platform

As a successful Third Party Administrator (TPA), your continued business growth depends on your ability to offer flexible retirement plans that meet diverse client needs. Mutual of Omaha Retirement Services can provide just that. Our retirement products integrate seamlessly with your TPA model to give your clients access to our diversified investment options, personalized customer service and three-tiered approach to fiduciary support.

### PRODUCT OVERVIEW

#### World-Class Investment Lineup

- More than 50 investment options from more than 25 different asset managers and classes
- Risk-based and time-based asset allocation portfolios
- Individually managed account options
- Qualified Default Investment Alternative (QDIA) options
- Self-directed brokerage account

#### Three Levels of Fiduciary Protection

- *Product-level* oversight from Mutual of Omaha's Investment Manager Oversight Committee and the consulting services of Callan Associates, Inc.
- *Plan-level* guidance from the individual plan appointment of investment managers and the Mesriow Financial fiduciary services program
- *Participant-level* confidence from individually managed account options

### TPA SERVICES

- Online access to plan data
- Ability to customize client reports
- Flexible payroll formats
- Contribution and distribution processing
- 1099-R services
- Custodial and trust services
- Installation and conversion support
- Ongoing processing support

#### Sales and Marketing Support

- Experienced sales consultants
- Custom proposal within two days
- Electronic and printed marketing materials
- No case or size minimums
- TPA subsidy/incentive available

#### Build Your Business With Help From Mutual of Omaha Retirement Services

Attracting new clients and converting existing clients to Mutual of Omaha Retirement Services is easy. Our retirement products can be packaged, scaled and priced to fit any type of business or existing TPA model. This helps ensure that employers can offer a competitive employee benefit solution and continue to enjoy the convenience of your services. Our name is one your clients can trust to provide a sound retirement program and to integrate seamlessly with your services. Contact your representative to learn more.

## TPA SERVICE PLATFORM OPTIONS

### TPA Direct:

- Mutual of Omaha acts as transactional entity
- All vesting, loans and distributions are controlled by TPA
- All distribution and loan fees are determined/received by TPA
- Mutual of Omaha requires little plan design information
- TPA is main point of contact for Mutual of Omaha

### TPA Plus:

- Mutual of Omaha tracks plan design, vesting and eligibility
- Loan processing and agreements provided by Mutual of Omaha
- Mutual of Omaha loan and distribution fees apply
- TPA or plan sponsor can be main point of contact for Mutual of Omaha

## SERVICES PROVIDED WITH BOTH PLATFORMS

### By TPA:

- Tax reporting
- Compliance
- Testing
- Corrective measures
- Documents
- Amendments
- Related participant notices
- Plan design
- Consulting
- Government reporting

### By Mutual of Omaha:

- Dedicated relationship manager assigned to TPA
- 1099-R reporting
- Quarterly participant statements
- 24-hour online or telephone access to participant accounts
- Payroll transmission options
- Customized enrollment booklets
- Savings projection planners
- Knowledgeable customer service professionals
- Online distribution capabilities for TPAs
- Loan modeling

	TPA DIRECT	TPA PLUS
<b>ONGOING RECORDKEEPING</b>		
Maintain records of contributions, loan payments, distributions, earnings and forfeitures	Mutual of Omaha	Mutual of Omaha
Transmit timely contribution and loan repayment data	TPA or Plan Sponsor	TPA or Plan Sponsor
Calculate and track participant vested benefits	TPA	Mutual of Omaha
Calculate and track participant hardship withdrawal basis	TPA	Mutual of Omaha
Calculate maximum amount available for a loan	TPA	Mutual of Omaha
Verify and authorize withdrawals, distributions and loans	TPA	Plan Sponsor
Determine employee eligibility	TPA	TPA or Plan Sponsor
Assist participants with questions and inquiries regarding the plan	TPA/ Mutual of Omaha	TPA/ Plan Sponsor/ Mutual of Omaha
Receive and review all correspondence sent by Mutual of Omaha	TPA	TPA or Plan Sponsor
<b>PARTICIPANT WEB/IVR OPTIONS</b>		
Online distributions	No	Yes
Loan modeling	Yes	Yes
Participant forms	No	Yes
<b>MUTUAL OF OMAHA TRANSACTION FEES</b>		
Distribution fees	\$0	\$50
Loan fees	\$0	\$125

# GET RETIREMENT RIGHT<sup>®</sup>

## UNITED OF OMAHA LIFE INSURANCE COMPANY

Omaha, NE 68175

## COMPANION LIFE INSURANCE COMPANY

Hauppauge, NY 11788

(877) 401-SALE (7253)



Mutual of Omaha

Investment options are offered through a group variable annuity contract (Forms 902-GAQC-09 or 902-GAQC-09(OR) or 901-GAQC-07 or 901-GAQC-07(OR)) underwritten by United of Omaha Life Insurance Company for contracts issued in all states except New York. United of Omaha Life Insurance Company is not licensed in New York. In New York, Companion Life Insurance Company, Hauppauge, NY underwrites the group variable annuity (Form 900-GAQC-07(NY)). Each company accepts full responsibility for each of their respective contractual obligations under the contract but does not guarantee any contributions or investment returns except as to the Guaranteed Account and the Lifetime Guaranteed Income Account as provided under the contract. Neither United of Omaha, Companion Life Insurance, nor their representatives or affiliates offers investment advice in connection with the contract.

For informational purposes only. Should not be construed as legal or investment advice, a promise of benefit or guarantee of investment performance.

MUTUAL of OMAHA'S  
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