

<u>Broker/Dealers Secured</u>	<u>Selling Agreement for 401(k) Product</u>	<u>Available in New York</u>
1 1st Discount Brokerage, Inc.	Yes	Y
2 Advantage Capital Corporation	Yes	Y
3 Advisory Group Equity Services	Yes	Y
4 AFS Brokerage, Inc.	Yes	
5 AIG Financial Advisors Inc	Yes	Y
6 Alexander Capital, LP	Yes	Y
7 Alexander Investment Services Co.	Yes	Y
8 Allegiant Securities LLC	Yes	
9 American General Securities Incorporated	Yes	Y
10 American Portfolios Financial Services, Inc.	Yes	Y
11 Ameritas Investment Corp	Yes	
12 Amvest Securities, Inc	Yes	
13 Andrew Garrett Inc	Yes	Y
14 AON Securities Corporation	Yes	
15 Arvest Asset Management	Yes	Y
16 ASKAR Corp.	Yes	
17 Assist Investment Management Company, Inc.	Yes	
18 Ausdal Financial Partners	Yes	Y
19 Avalon Investment & Securities Group, Inc.	Yes	
20 AXA Advisors, LLC	Yes	Y
21 AXA Network, LLC	Yes	Y
22 BancNorth Investment Group	Yes	Y
23 Bankers & Investors Co.	Yes	Y
24 Beneficial Investment Services Inc	Yes	Y
25 Bozarth, Newton & Murphy Securities, Inc.	Yes	
26 Broker Dealer Financial Services, LLC	Yes	Y
27 Brokers International Financial Services, LLC	Yes	
28 Brookstone Securities Corporation	Yes	
29 Cadaret Grant and Company	Yes	Y
30 Caldwell International Securities	Yes	Y
31 Calton & Associates, Inc.	Yes	
32 Cambridge Investment Research Inc	Yes	Y
33 CapFinancial Partners, LLC	Yes	
34 Capital Analysts, Incorporated	Yes	
35 Capital Financial Services	Yes	Y
36 Capital Guardian, LLC	Yes	Y
37 Carter, Terry & Company, Inc.	Yes	
38 Carty & Company, Inc.	Yes	Y
39 CBIZ Financial Solutions, Inc.	Yes	
40 Centaurus Financial, Inc.	Yes	Y
41 Center Street Securities	Yes	Y

42	Commonwealth Equity Services	Yes	Y
43	Comprehensive Asset Management and Servicing, Inc.	Yes	
44	Coordinated Capital Securities, Inc.	Yes	
45	Correll Co. Investment Services, Inc.	Yes	Y
46	Crown Capital Securities	Yes	Y
47	Cutter and Company	Yes	Y
47	D.A. Davidson & Company	Yes	Y
48	Davinci Capital Management	Yes	Y
49	Delta Trust Investments, Inc.	Yes	
50	Dempsey Lord & Smith	Yes	Y
51	DFC Investor Services	Yes	
52	Eagle One Investments, LLC	Yes	Y
53	Ensemble Financial Services	Yes	Y
54	Equity Services, Inc.	Yes	
55	Essex Financial Services	Yes	Y
56	Federated Securities, Inc.	Yes	Y
57	Feltl and Company	Yes	Y
58	Financial Advisors of America LLC	Yes	Y
59	Financial Networking Investment Corporation	Yes	
60	Financial Telesis Inc	Yes	
61	Financial West Group	Yes	
62	First Allied Securities Inc	Yes	Y
63	First Citizens Financial Plus, Inc.	Yes	Y
64	First Citizens Investors, Inc.	Yes	Y
65	First Financial Equity Corporation	Yes	Y
66	First Heartland Capital	Yes	
67	First Midamerica Investment Corporation	Yes	Y
68	First St. Louis Securities Inc.	Yes	
69	Fitzmaurice Investment Management Services, LLC	Yes	
70	FMN Capital Corporation	Yes	Y
71	Fortune Financial Services, Inc	Yes	Y
72	FSC Securities Corporation	Yes	
73	G.A. Repple & Company	Yes	
74	G.L.S. & Associates, Inc.	Yes	
75	Gardner Financial Services, Inc.	Yes	
76	Geneos Wealth Management, Inc	Yes	Y
77	Girard Securities, Inc.	Yes	
78	Gold Coast Securities	Yes	Y
79	Granite Securities, LLC	Yes	
80	Great American Advisors Inc	Yes	Y
81	Greystone Securities, Inc.	Yes	
82	Guaranty Brokerage Services	Yes	Y
83	GunnAllen Financial, Inc.	Yes	Y
84	GWN Securities, Inc.	Yes	
85	H. Beck, Inc.	Yes	
86	Hancock Securities	Yes	Y
87	Harbor Financial Services, LLC	Yes	

88	Harbour Investments, Inc.	Yes	
89	Harvest Capital LLC	Yes	Y
90	Hazard & Siegal, Inc.	Yes	Y
91	Honor, Townsend & Kent, Inc.	Yes	
92	Huntleigh Securities Corporation	Yes	Y
93	Independent Financial Group	Yes	Y
94	Infinex Investments, Inc	Yes	Y
95	ING Financial Partners, Inc.	Yes	
96	Intercarolina Financial Services, Inc.	Yes	
97	Intersecurities, Inc.	Yes	
98	Intervest International Equities Corporation	Yes	
99	Inverness Securities LLC	Yes	Y
100	Invest Financial Corporation	Yes	Y
101	Investacorp, Inc.	Yes	Y
102	Investment Centers of America, Inc.	Yes	Y
103	Investment Network, Inc.	Yes	Y
104	Investment Planners, Inc	Yes	Y
105	Investors Brokerage of Texas, LTD	Yes	Y
106	Investors Capital Corp	Yes	Y
107	Investors Security Company, Inc.	Yes	
108	J.W. Cole Financial Inc.	Yes	Y
109	Janney Montgomery Scott LLC	Yes	
110	Jefferson Pilot Securities Corporation	Yes	Y
111	Kalos Capital, Inc.	Yes	
112	KCD Financial, Inc.	Yes	
113	KMS Financial Services, Inc.	Yes	Y
114	Kovack Securities Inc.	Yes	
115	L&M Securities Company Inc.	Yes	
116	L.M. Kohn & Company	Yes	
117	LaSalle St Securities, LLC	Yes	Y
118	Leonard and Company	Yes	Y
119	Lifemark Securities	Yes	
120	Lincoln Financial Advisors Corporation	Yes	Y
121	Lincoln Financial Securities Corporation	Yes	Y
122	Linsco/Private Ledger Copr.	Yes	Y
123	Lockton Financial Advisors, LLC	Yes	Y
124	M Holdings Securities, Inc.	Yes	
125	Madison Avenue Securities	Yes	Y
126	Main Street Securities, LLC	Yes	
127	Merrimac Corporate Securities, Inc.	Yes	Y
128	Metropolitan Life Insurance Company	Yes	Y
129	MHA Financial	Yes	
130	Michigan Securities Inc.	Yes	
131	Midwestern Securities Trading Company, LLC	Yes	
132	MMC Securities Corp	Yes	
133	Moloney Securities Co., Inc	Yes	Y
134	Morgan Keegen & Company, Inc	Yes	Y

135	Morgan Peabody, Inc	Yes	Y
136	Multi-Financial Securities Corporation	Yes	
137	Mutual of Omaha Investor Services	Yes	Y
138	Mutual Service Corporation	Yes	Y
139	National Planning Corp.	Yes	Y
140	National Securities Corporation	Yes	Y
141	New England Securities	Yes	Y
142	Newbridge Securities Corporation	Yes	
143	Next Financial Group Inc	Yes	Y
144	NFP Securities	Yes	
145	NRP Financial	Yes	Y
146	Nutmeg Securities, LTD	Yes	
147	NYLIFE Securities Inc	Yes	
148	Oak Ridge Financial Services Group, Inc.	Yes	Y
149	OFG Financial Services, Inc.	Yes	
150	Ogilvie Security Advisors Corporation	Yes	
151	Oppenheimer & Company, Inc	Yes	Y
152	Pacific West Securities	Yes	Y
153	Packerland Brokerage Services, Inc.	Yes	
154	Pan-American Financial Advisers	Yes	
155	Park Avenue Securities LLC	Yes	
156	Pension Planners Securities, Inc.	Yes	
157	Piper Jaffray & Co.	Yes	
158	Primevest Financial Services, Inc.	Yes	
159	Princor Financial Services Corp	Yes	Y
160	ProEquities, Inc.	Yes	
161	Purshe Kaplan Sterling Investments	Yes	Y
162	QA3 Financial Services	Yes	Y
163	Quest Capital Strategies	Yes	Y
164	R F Lafferty & Co, Inc	Yes	Y
165	Rampart Financial Services, Inc.	Yes	Y
166	Raymond James & Associates, Inc.	Yes	Y
167	Raymond James Financial Services	Yes	Y
168	RBC Dain Capital Markets	Yes	Y
169	Regis Securities Corporation	Yes	
170	Register Financial Associates, Inc	Yes	Y
171	Resource Horizons Group LLC	Yes	
172	Ridgeway & Conger	Yes	Y
173	RMIN Securities Inc	Yes	Y
174	Royal Alliance Associated, Inc.	Yes	Y
175	Sammons Securities Company, LLC	Yes	Y
176	Scott & Stringfellow, Inc	Yes	Y
177	Secure Planning, Inc.	Yes	
178	Securian Financial Services, Inc.	Yes	Y
179	SecuritiesAmerica Inc	Yes	
180	SIGMA Financial Corporation	Yes	
181	SII Investments, Inc.	Yes	Y

182	SMH Capital Inc	Yes	Y
183	Smith Hayes Financial Services Corporation	Yes	
184	Source Capital Group	Yes	Y
185	Strand, Atkinson, Williams & York., Inc.	Yes	
186	Summit Equities	Yes	Y
187	Sunset Financial Services, Inc.	Yes	
188	Symphonic Securities LLC	Yes	Y
189	TFS Securities, Inc	Yes	Y
190	The Enterprise Securities Company	Yes	Y
191	The Leaders Group	Yes	Y
192	The O. N. Equity Sales Company	Yes	
193	Thomas McDonald Partners, LLC	Yes	Y
194	Thurston, Springer, Miller, Herd, & Titak, Inc.	Yes	Y
195	Tower Square Securities	Yes	Y
196	Transamerica Financial Advisors Inc.	Yes	
197	Triad Advisors, Inc	Yes	Y
198	United Equity Securities Inc	Yes	Y
199	United Planners Service of America	Yes	Y
200	US Wealth Advisors	Yes	Y
201	USA Advanced Planners Inc.	Yes	
202	USI Securities	Yes	Y
203	UVEST Financial Services Group, Inc.	Yes	Y
204	Valmark Securities, Inc.	Yes	Y
205	Vision Investment Services, Inc.	Yes	Y
206	VSR Financial Services, Inc.	Yes	
207	Walnut Street Securities, Inc.	Yes	Y
208	Warner Group, Inc.	Yes	
209	Waterstone Financial Group, Inc.	Yes	
210	Western International Securities, Inc	Yes	Y
211	Westminster Financial Inc.	Yes	Y
212	Woodbury Financial Services, Inc.	Yes	Y
213	Woodman Financial Services, Inc.	Yes	Y
214	Woodstock Financial	Yes	Y
215	Workman Securities Corporation	Yes	
217	World Capital Brokerage, Inc.	Yes	
218	World Choice Securities, Inc.	Yes	
219	World Equity Group, Inc	Yes	
220	WRP Investments, Inc.	Yes	
221	Wunderlich Securities, Inc.	Yes	Y